

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|   |                      |                        |                |
|---|----------------------|------------------------|----------------|
| <b>TRANSMITTAL<br/>FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/696,256             |                |
|   | Filing Date          | October 29, 2003       |                |
|   | First Named Inventor | Azrolan, et al.        |                |
|   | Art Unit             | 1614                   |                |
|   | Examiner Name        | unassigned             |                |
| Total Number of Pages in This Submission  | 6                    | Attorney Docket Number | AM-100302C1USA |

**ENCLOSURES (Check all that apply)**

|  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication<br>to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>Identify below):<br><br>1 pp. Request for Correction of Filing Receipt |
| <b>Remarks</b><br><br>Customer No. 38199   |  |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

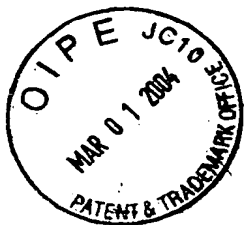
|                               |                                       |
|-------------------------------|---------------------------------------|
| Firm<br>or<br>Individual name | HOWSON AND HOWSON<br>Cathy A. Kodroff |
| Signature                     | <i>Cathy A. Kodroff</i>               |
| Date                          | February 27, 2004                     |

**CERTIFICATE OF TRANSMISSION/MAILING**

|   |                           |      |                   |
|---|---------------------------|------|-------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                           |      |                   |
| Typed or printed name   | Kelly A. Karstaedt        |      |                   |
| Signature   | <i>Kelly A. Karstaedt</i> | Date | February 27, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



DOCKET NO: AM-100302CIUSA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 10/696,256 Confirmation No.: 7071  
Applicant : Azrolan et al.  
Filed : October 29, 2003  
TC/A.U. : 1614  
Examiner : unassigned  
Customer No. : 38199  
Title : METHOD OF TREATING CARDIOVASCULAR DISEASE

Attention: OIPE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

Enclosed is a copy of the Filing Receipt (Exhibit A) received in the above-identified application. An error in the number of drawings has been made and is marked in red on the attached copy.

Applicants respectfully request that the number of drawings be revised to reflect that no drawings were submitted, which is specified on the enclosed copy of the Utility Patent Application Transmittal (Exhibit B).

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

2-27-04  
Signature Kelly A. Karstaedt  
Name Kelly A. Karstaedt

The Director is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees to our Deposit Account Number 08-3040.

Respectfully submitted,

HOWSON AND HOWSON  
Attorneys for Applicant

By Cathy A. Kodroff  
Cathy A. Kodroff  
Registration No. 33,980  
Spring House Corporate Center  
Box 457  
Spring House, PA 19477  
(215) 540-9200

Exhibit A



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/696,256 | 10/29/2003                | 1614     | 982           | AM-100302C1USA  | 50       | 27       | 4        |

38199

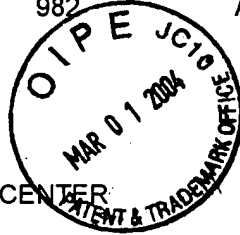
HOWSON AND HOWSON

CATHY A. KODROFF

ONE SPRING HOUSE CORPORATE CENTER

BOX 457

SPRING HOUSE, PA 19477



CONFIRMATION NO. 7071

## FILING RECEIPT



\*OC000000011868734\*

Date Mailed: 02/10/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Neal I. Azrolan, Lawrenceville, NJ;  
 Surendra N. Sehgal, Snohomish, WA, Deceased;  
 Uma Sehgal, Snohomish, WA, Legal Representative;  
 Steven J. Adelman, Doylestown, PA;

## Assignment For Published Patent Application

Wyeth, Madison, NJ;

## Domestic Priority data as claimed by applicant

This application is a CON of 10/313,217 12/06/2002 PAT 6,670,355  
 which is a CIP of 09/880,295 06/13/2001 ABN  
 which claims benefit of 60/212,117 06/16/2000

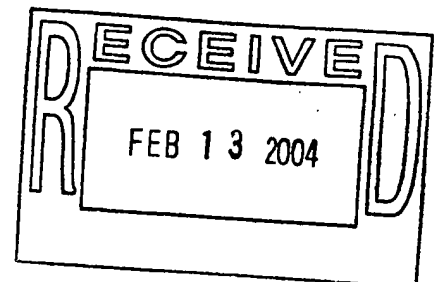
## Foreign Applications

If Required, Foreign Filing License Granted: 02/06/2004

Projected Publication Date: 05/20/2004

Non-Publication Request: No

Early Publication Request: No



**Title**

Method of treating cardiovascular disease

**Preliminary Class**

514

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

|                               |  |
|-------------------------------|--|
| <b>Attorney Docket No.</b>    | AM100302P2                                   |
| <b>First Inventor</b>         | Azrolan et al                                |
| <b>Title</b>                  | Method of treating<br>Cardiovascular Disease |
| <b>Express Mail Label No.</b> | EU 531570624US                               |

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

**ADDRESS TO:**

*See MPEP chapter 600 concerning utility patent application contents.*

**Mail Stop Patent Application**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria VA 22313-1450**

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets \_\_\_\_\_]
5. Oath or Declaration [Total Sheets \_\_\_\_\_]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS/PTO-1449 Citations)
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)      of prior application No.: 10/313,217.....

Prior application information: Examiner Raymond J. Henley, III Art Unit: 1614  
For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|  |       |  |
|--|-------|--|
| <input checked="" type="checkbox"/> Customer Number: | 38199 | OR <input type="checkbox"/> Correspondence address below |
|--|-------|--|

|                |  |                  |              |  |                 |              |
|----------------|--|------------------|--------------|--|-----------------|--------------|
| <b>Name</b>    | HOWSON AND HOWSON, Cathy A. Kodroff                    |                  |              |  |                 |              |
| <b>Address</b> | One Spring House Corporate Center, 321 Norristown Road |                  |              |  |                 |              |
|                | PO Box 457   |                  |              |  |                 |              |
| <b>City</b>    | Spring House   | <b>State</b>     | PA           |  | <b>Zip Code</b> | 19477        |
| <b>Country</b> | USA  | <b>Telephone</b> | 215-540-9200 |  | <b>Fax</b>      | 215-540-5818 |

|                   |                         |                                   |                  |  |
|-------------------|-------------------------|-----------------------------------|------------------|--|
| Name (Print/Type) | Cathy A. Kodroff        | Registration No. (Attorney/Agent) | 33,980           |  |
| Signature         | <i>Cathy A. Kodroff</i> | Date                              | October 29, 2003 |  |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**